PSEUDO AUTOREGRESSIVE INFERENCE USING DIFFUSION MODEL FOR PIANO AUDIO SYNTHESIS

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ABSTRACT

Diffusion Denoising Probabilistic Models (DDPM) [1] 47 2 aim to learn the underlying data distribution of some ob- 48 3 servations. Although similar in objective to that of gen- 49 4 erative adversarial networks (GAN) [2] and variational 5 50 auto-encoders (VAE) [3], a DDPM differs in its robust-51 6 ness towards model architecture and training procedure. 52 7 Thanks to this robustness, the domain of image generation 53 8 has shown remarkable results in both quality and variety. 54 9 While the recent diffusion models focus on the problem 55 10 domain of generating images, we would like to utilize dif-56 11 fusion on sound waves. In this paper, we propose a method 57 12 to continuously expand the Waveform domain as a way to 58 13 mimic autoregressive behavior, and a novel sampling pro-59 14 cedure that aims to create a harmonizing result. 60 15

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1. INTRODUCTION

64 In recent years, generative modeling has taken center stage 17 across a multitude of scientific domains, eliciting notewor-65 18 66 thy contributions in the fields of natural language process-19 67 ing [4] and image synthesis [1]. The success in these fields 20 has culminated in generative outputs that approach human-21 69 like quality, indiscernible to the untrained eyes or ears. De-22 spite these achievements, music synthesis remains a rela-23 tively under-explored area within the generative modeling 24 landscape. This deficit is primarily attributable to the in-25 herent complexities associated with music data. Specif-26 ically, musical compositions not only comprise long se-72 27 quential structures but are also replete with intricate fre-28 73 quency spectra [5]. Consequently, raw music data in the 74 29 waveform domain manifests as exceedingly dense infor-30 75 mational entities. 31 76

Moreover, the challenges associated with music synthe-77 32 sis are further exacerbated by its compositional versatil-33 ity. Unlike images, which are generally synthesized from 79 34 a restricted palette of colors, music is born out of a rich 80 35 tapestry of instrumental timbres and voices, each contribut-36 81 ing its own unique qualities. This multiplicity of input vari-82 37 ables presents a complex landscape for the task of effec-83 38 tively modeling the underlying data distribution, rendering 84 39 it a compelling yet formidable research challenge. 40

Given the foundational commonalities between image 86 41 and music synthesis-where the primary elements of im-87 42 ages are colors, and in music, it is the fundamental fre- 88 43 quency, denoted as f_0 —we posit that advances in image 89 44

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synthesis techniques may be ported to the domain of music synthesis. To investigate this hypothesis, we turn our focus to diffusion techniques, which have demonstrated both simplicity and robustness in their capacity to model complex data distributions in various domains. However, the direct transposition of Denoising Diffusion Probabilistic Models (DDPM) to the domain of music synthesis is not without its challenges. Notably, the inherently nonautoregressive nature of conventional DDPM algorithms imposes a constraint of fixed sequence length on the generated output. While such limitations may be inconsequential within the context of image synthesis, they constitute a significant bottleneck for musical compositions, which frequently necessitate variable-length sequences.

In light of these challenges, the primary objective of this study is to develop a methodology that allows for autoregressive sequence inference within the diffusion framework. To this end, We proposed the use of image inpainting techniques as the inference method to mimic the behavior similar to that of an autoregressive model. We also modified the original Repaint [6] technique in favor of an algorithm that significantly reduces the reverse steps needed. In doing so, we anticipate broadening the potential applicability of DDPM techniques in the sphere of music synthesis, thereby filling an existing gap in the literature.

2. RELATED WORK

2.1 Neural Audio Synthesis

Over the course of recent years, the field of neural audio synthesis has undergone significant advancements. One of the earliest breakthroughs was Wavenet [7], as it showed an impressive result in generating audio sequences. It employed an autoregressive architecture to facilitate the direct sampling of audio sequences within the waveform domain, albeit at a computational cost. Subsequent work in the form of Vector Quantized - Variational Auto Encoder (VQ-VAE) [8] took a similar approach. Instead of directly generating new samples, VQ-VAE compresses raw waveform data into a quantized codebook, which is subsequently decoded using WaveNet. On the other hand, HiFi-GAN [9] and Rifffusion [10], both of which rely on Mel-spectrogram conditioning as opposed to raw waveform data, have also demonstrated impressive results.

Nevertheless, conditioning upon the Mel-Spectrogram entails a loss of information relative to the original data distribution, thereby introducing a degree of imprecision dur-

ing the generative process. Recent methodologies [11, 12], 145 90 have attempted to address this issue by compressing the 146 91 raw audio data into a latent space, conditioned by an au- 147 92 toregressive decoder to yield high-fidelity audio outputs. 148 93 Distinctively, these models utilize a cascaded form of 149 94 residual quantized codebook, thereby facilitating a more 95 accurate discrete representation compared to predecessor 96

models like VQ-VAE [8]. 97

2.2 Diffusion 98

Diffusion models [1] present several advantages over ad-99 versarial methodologies, particularly in terms of their 150 100 straightforward L2 loss objective function and the stability 151 101 of their training regime, making them well-suited for appli-102 153 cations in image synthesis [13,14]. For instance, DiffWave 103 [15] leverages diffusion-based techniques and adapts them 154 104 to a custom vocoder architecture. Extensions of this model, 105 such as PriorGrad [16] further refine the DiffWave [15] by 106 introducing a better noise distribution. Instead of the stan-107 dard Gaussian noise, the author extracts the energy of the 108 conditioned Mel-Spectrogram and adopts the prior noise 109 distribution to the target audio. WaveGrad [17] is similar 110 but instead of the discrete noise level, it is conditioned on 111 the continuous noise level. Hierarchical diffusion model 112 for singing voice generation [18] on the other hand, ex-113 tends PriorGrad [16] in a cascade diffusion style, where 114 the several diffusion models are combined together. The 115 base model learns the low sample representation and the 116 latter models learn to upscale the input. Such a process 155 117 is inspired by the super-resolution cascade technique [19], 156 118 which generates sample at high fidelity. A more recent 119 approach in producing high-quality sample is first to com-120 press the data into a latent representation, after applying 121 the diffusion process, it is decoded back into the original 122 data domain. This was first used by latent diffusion [14] 123 for image synthesis, and forms the very idea of multi-band 124 diffusion [20] for music synthesis. 125

Even though autoencoder-based architectures are inher-126 ently non-autoregressive, there have been concerted efforts 159 127 to apply diffusion techniques in an autoregressive frame-160 128 work. TimeGrad [21] seeks to tackle time-series fore-129 161 130 casting challenges using DDPM and incorporates a RNN [22, 23] to encode prior window information for condi-131 tional diffusion. This autoregressive adaptation of diffu-132 sion is particularly pertinent in the realm of video genera-133 tion, which inherently consists of temporally-linked image 134 sequences. Residual Video Diffusion [24] improved the 135 TimeGrad approach [21] by generating a residual to a de-136 terministic next-frame prediction. 137

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3. METHOD

3.1 DDPM 139

At a very high level, the diffusion model samples noises 163 140 from a Gaussian distribution and add these noises to the 164 original data. After sufficient number of steps, the data be- 165 142 comes pure noise. Then, the model tries to learn how to re- 166 143 move the noises to reconstruct the original data. More for- 167 mally, it is a two-step process where the data distribution is first gradually destroyed by adding Gaussian noise, and later gradually denoised by removing the predicted noise.

The noising process, or forward diffusion process is just a simple Markov process:

$$q(x_{1:T}|x_0) = \prod_{t=1}^{T} q(x_t|x_{t-1})$$
(1)

$$q(x_t|x_{t-1}) = \mathcal{N}(x_t|\sqrt{1-\beta_t}x_{t-1},\beta_t I)$$
(2)

Here, β_t is a fixed variance schedule with $\beta_t \in (0, 1)$. However, since the normal distribution can be parameterized as $z = \mu + \sigma \epsilon$, where $\epsilon \sim \mathcal{N}(0, I)$, the result of the Markov process at any timestep t can be calculated in a single step. let $\alpha = 1 - \beta_t$ and $\hat{\alpha} = \prod_{t=1}^T \alpha_t$, we derive:

$$\sqrt{1 - \beta_t} x_{t-1} + \sqrt{\beta_t} \epsilon \tag{3}$$

$$\sqrt{\alpha_t}x_{t-1} + \sqrt{1 - \alpha_t}\epsilon \tag{4}$$

$$\sqrt{\alpha_t \alpha_{t-1}} x_{t-2} + \sqrt{1 - \alpha_t \alpha_{t-1}} \epsilon \tag{5}$$

$$/\overline{\alpha_t \alpha_{t-1} \alpha_{t-2}} x_{t-3} + \sqrt{1 - \alpha_t \alpha_{t-1} \alpha_{t-2}} \epsilon \qquad (6)$$

$$\sqrt{\alpha_t \alpha_{t-1} \dots \alpha_1 \alpha_0} x_0 + \sqrt{1 - \alpha_t \alpha_{t-1} \dots \alpha_1 \alpha_0} \epsilon$$
 (7)

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$$x_t = \sqrt{\hat{\alpha}_t} x_0 + \sqrt{1 - \hat{\alpha}_t} \epsilon, \epsilon \sim \mathcal{N}(0, I)$$
(8)

The denoising process, or reverse diffusion process can be thought of as approximating the posterior of the diffusion process, which can be expressed as follows:

$$p_{\theta}(x_{0:T}) = p_{\theta}(x_T) \prod_{t=1}^{T} p_{\theta}(x_{t-1}|x_t)$$
(9)

$$p_{\theta}(x_{t-1}|x_t) = \mathcal{N}(x_{t-1}|\mu_{\theta}(x_t, t), \gamma I)$$
(10)

Since the forward procedure is fixed, we are only interested in learning the parameterized $p_{\theta}(x_{t-1}|x_t)$. Our parameter θ can be optimized by maximizing the evidence lower bound (ELBO) [25].

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$$logp(x) = log \mathbb{E}_{q}[\frac{p(x_{0:T})}{q(x_{1:T}|x_{0})}]$$
(11)

$$logp(x) = \mathbb{E}_{q}[logp_{\theta}(x_{0}|x_{1})] - D_{KL}(q(x_{T}|x_{0})||(x_{T})) - \sum_{t=2}^{T} \mathbb{E}_{q}[D_{KL}(q(x_{t-1}|x_{t},x_{0})||p_{\theta}(x_{t-1}|x_{t})]$$
(12)

Note that deriving (12) from (11) requires us to rewrite the encoder transitions as $q(x_t|t_{t-1}) = q(x_t|x_{t-1}, x_0)$, this can intuitively be understood as knowing the original data distribution helps lowering the variance during our Monte Carlo estimation. However, rewriting does not affect the result of the Monte Carlo estimation since the extra term is superfluous under the Markov property. Given (12),

¹⁶⁹ Ho et al. [1] claims that optimizing the last KL-divergence

170 only is sufficient for the model to converge. Therefore, our

171 objective function can be written as

$$argmin\frac{1}{2\sigma_a^2(t)}\frac{\hat{\alpha}_{t-1}(1-a_t)^2}{(1-\hat{\alpha}_t)^2}[||\hat{x}_{\theta}(x_t,t)-x_0||_2^2] \quad (13)$$

In the original experiment, Ho et al. [1] found out that 172 ignoring the scaling terms at the front of the L2 loss leads 173 to better training results, therefore our final objective be-174 comes a simple L2 loss. In the original paper [1], Ho et al. 175 used another loss function $||\hat{\epsilon}_{\theta}(x_t, t) - \epsilon||_2^2$ that optimizes 176 for the noise difference. This interpolation is equivalent to 177 the above equation along with score matching interpolation 210 178 $||s_{\theta}(x_t, t - \nabla logp(x_t))||_2^2$ [25, 26]. 179 211

180 3.2 Pesudo Autoregressive Inference

Recall that an autoregressive model predicts the probability of a subsequent token based on its predecessors. In
other words, this model uses accumulated historical data
to forecast the next token or sample. This process is mathematically expressed as:

$$logp(x) = \prod_{i=1}^{D} p(x_i | x_{< i})$$
(14)²¹⁵₂₁₆

218 Therefore, in replicating this autoregressive approach, 186 219 our inference model must incorporate spatial dependen-187 cies in its predictions. We selected Diffwave [15] for ²²⁰ 188 this purpose due to its structural similarities with WaveNet ²²¹ 189 [7]. Diffwave, adapting WaveNet's architecture, effec-190 223 tively captures temporal information during the generation 191 224 process. However, this sequence creation is confined to in-192 dividual generations, resetting with each new generation. 193 226 As a result, each sampling instance in Diffwave [15] disre-194 gards previous generations. 195

Our goal, then, is not simply to generate new samples 196 228 using Diffwave [15], but rather to extend the generated 197 audio, imitating the autoregressive model's functionality. 198 230 The objective is to create extended audio data data^{new} 199 of length D, building upon existing audio $data^{known}$ of ₂₃₁ 200 shorter length B. This approach can be conceptualized as 232 201 predicting $data^{new}$ based on $data^{known}$ 202 233

$$logp(data^{new}) = p(data^{new}|data^{known})$$
(15) 235
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It's important to note that Diffwave's maximum gener- 237 ation capacity is D, aligning with the maximal context and 238 generation length of a traditional autoregressive model. To 239 circumvent the limitation of generation length inherent in 240 autoencoder models, we can create longer final audio data 241 by stacking multiple generated "frames," as illustrated in 242 Figure 1. 243



Figure 1. Stacking of audio frames

3.3 Image Inpainting and Resampling

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Extending audio sequences can be understood as an audio inpainting problem. Here, our objective is to predict an unknown audio segment of size D - B. Drawing inspiration from RePaint [6], we approach this using diffusion models:

$$x_{t-1}^{known} \sim \mathcal{N}(\sqrt{\hat{\alpha}_t} x_0, (1 - \hat{\alpha}_t)I) \tag{16}$$

$$x_{t-1}^{unknown} \sim \mathcal{N}(\hat{x_{\theta}}(x_t^{new}, t), \beta I)$$
(17)

$$x_{t-1}^{new} = x_{t-1}^{known} + (D - B) \odot x_{t-1}^{unknown}$$
(18)

In these equations, The + indicates the concatenation of two 1-D matrices, while \odot signifies the selection of a length segment. Since the diffusion reverse step from x_t to x_{t-1} relies solely on x_t , we modify the reverse process at each time step t by incorporating the known region, ensuring that x^{new} includes the conditional information from x^{known} .

A significant challenge with this method is achieving harmony between x^{known} and $x^{unknown}$ in the resulting x^{new} . While the diffusion model \hat{x}_{θ} attempts to harmonize the overall data distribution at each time step, it struggles to produce a consistent harmonized distribution across t, due to:

- 1. The sampling of x^{new} excludes the $B \odot x^{unkown}$ region, leading to a loss of crucial information in each reverse step.
- 2. The diminishing β value during the diffusion process limits the model's ability to introduce significant changes to the latent distribution at lower t values.

The original RePaint [6] paper addressed this by adding extra steps for harmonization. Specifically, it re-noises $x_t^{new} \sim \mathcal{N}(\sqrt{1-\beta_t}x_{t-1}, \beta_t I)$ essentially allowing the model to backtrack in the diffusion process. This backtracking provides the opportunity to find a new path that better integrates the generated and unknown distributions. However, this solution significantly extends the diffusion process duration, as it requires multiple of t steps to complete due to the backtracking operation.



Figure 2. Reverse Lambda schedule. At the final step, lambda must equal 1 to avoid generating a x_0 that is a mix of x^{known} and $x^{unknown}$.

244 3.4 Interpolation Guidance and Reverse Lamdba245 Schedule

283 Addressing the harmonization issue in audio inpainting ne-246 284 cessitates tackling the two identified challenges. Firstly, 247 rather than discarding the $B \odot x_{t-1}^{unknown}$ segment, we 248 should integrate it with the x^{known} region. This integra-249 tion can be achieved through interpolation [1], as defined 250 by $x^{combined} = \lambda x^{unknown} + (1 - \lambda) x^{known}$. Although 251 one might think that adding x^{known} and $x^{unknown}$ di-252 rectly would be intuitive; such an operation would incur 253 numeric blowup, therefore an interpolation scale is needed 254 to ensure the latent blend is within a numeric bound. 255 The blend of $x^{unknown}$ and x^{known} still influences the 256 $(D-B) \odot x_{t-1}^{unknown}$ region, given Diffwave's reliance on 257 temporal dependencies during generation, meaning later $_{286}$ 258 parts are generated considering this mixture. 259

The interpolation creates a latent distribution that is in-260 termediate between $x^{unknown}$ and x^{known} . To ensure the 261 final x_0 accurately reflects x^{known} , we ultimately wanted ₂₈₈ 262 an extreme instead of a mix. We've designed our λ sched- 289 263 ule as an exponential function (shown in fig 2, eq 19) that 290 264 converges to 1 as t nears 0, tailored specifically for 200 $_{291}$ 265 steps in the diffusion process. This choice is driven by 292 266 the fact that most denoising activity occurs at lower t val- ₂₉₃ 267 ues [15], necessitating more dramatic changes for effective 294 268 latent shaping¹. 269

Initially, it seemed logical to increase λ for x^{known} as 296 270 t approached 0, ensuring the diffused x_0 matches the dif-271 fused x_0^{known} . However, this approach did not resolve the $\frac{1}{298}$ 272 unharmonized $(D - B) \odot x^{unknown}$ issue due to the di-273 minishing β problem (issue 2). This problem implies that $\frac{1}{300}$ 274 when x^{known} significantly influences the latent space, the 275 276 model is restricted in its ability to effect changes. Counterintuitively, by inverting the λ value, we found that the $^{\rm 302}$ 277 final data distribution still aligns with x^{known} . We hypoth-278 esize that the denoising process at a high t value aims to ³⁰⁴ 279



Figure 3. Kolmogorov-Smirnov Test for different methods against the original at different t, higher the better.

create a uniformed noised distribution, which at a lower t value it aims to denoise the said distribution. Therefore, by incorporating a high value of x^{known} in the early steps, we direct $x^{combined}$ to target an outcome incorporating x^{known} 's distribution. The later steps can then focus on harmonization and denoising.

$$\lambda = \begin{cases} 1, & \text{if } t = 0\\ INVERSE(0.3704e^{0.5t} - 1)^4, & \text{otherwise} \end{cases}$$
(19)

$$\begin{aligned} x_{t-1}^{combined} &= (1-\lambda)x_{t-1}^{known} + \lambda(B \odot x_{t-1}^{unknown}) \quad (20)\\ x_{t-1}^{new} &= x_{t-1}^{combined} + (D-B) \odot x_{t-1}^{unknown} \quad (21) \end{aligned}$$

4. EXPERIMENT

4.1 Model setup and training

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For our project, we configured Diffwave [15] following the original architecture proposed by the authors. This setup included 30 residual layers and a maximum of 64 residual channels. Although Diffwave is capable of conditioning on Mel-Spectrograms during both training and inference, we decided not to use this feature. Our focus was on generating raw waveforms through diffusion, making Mel-Spectrogram conditioning unnecessary for this project.

Our dataset was sourced from Kaggle and comprised 14 monophonic piano sounds of varying lengths. The audio files were sampled at 22.05 KHz, and the total duration of the dataset amounted to 1,217 seconds or approximately 20.283 minutes.

During the training phase, we randomly selected four 5-second audio clips ('snapshots') for each training step, feeding these into Diffwave. The training process extended over approximately 730,000 steps, and we observed the final L2 loss stabilizing around 0.03. The entire training duration was roughly 14 days, conducted on a single A5000 GPU with a 24 Gb memory capacity.

¹ Indeed, we found that using a linear schedule results in a worse reconstructing quality 307



Figure 4. Wavform representation for x at t = 25, from top to bottom: our Method, do-nothing, and Repaint. The abscissa is in seconds



Figure 5. Wavform representation for x at t = 0, from top ³³⁹ to bottom: original, our Method, do-nothing, and Repaint. ₃₄₀ The area beyond the redline is the generated content. ₃₄₁

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308 4.2 Comparision Study

Given the subjective nature of music and the constraints of 345 309 our resources, we approached the evaluation of interpola- 346 310 tion guidance empirically. In our experiment, we tasked 347 311 various algorithms with reconstructing a 5-second audio 348 312 segment, using a 4-second window as a reference. We 349 313 314 compared our algorithm's performance against both a Re- 350 sampling method (with jumping and backtrack length of 351 315 10) and a do-nothing baseline (eq 16-18), focusing on the 352 316 output sequence and the denoising history. 353 317

Our method consistently produced a uniform noise dis- 354 318 tribution throughout the denoising process, particularly no- 355 319 ticeable at t = 25. In these instances, the distinction be- 356 320 tween the generated and reference segments was minimal. 357 321 Numeric analysis using Kolmogorov-Smirnov Test shows 358 322 that our method has a higher similarity to x^{known} as shown 359 323 in fig 3. This uniformity contributed to a more harmonized 360 324 final output, as evident in x_0 . As shown in fig 5, the im- 361 325 pulse shape closely mirrors the original audio relative to 362 326 other methods. 363 327

We extended our analysis by generating three different 364 328 8-second audio segments from 5-second starting points us- 365 329 ing various methods. We chose an 8-second duration as it 366 330 is sufficiently long to demonstrate melodic structure while 367 331 short enough for Diffwave to maintain reference to the 368 332 original audio. To validate the coherence and superiority 369 333 of our method's tempo, we conducted a pitch analysis us- 370 334 335 ing the Yin algorithm [27], with results presented in fig 6. 371



Figure 6. Pitch analysis of 8-second audio generated using different algorithms. Abscissa is in seconds. Anything Beyond 5 seconds are generated parts which are marked square marker. The right-hand sides are the generated parts using baseline and Repaint (top to bottom)

Visually inspecting, the pitch structure of the audio generated by our method yields a rather organized shape when compared to others.

5. DISCUSSION

While our studies have shown promising results in comparison to other audio extension methods, they do not conclusively prove the effectiveness of our approach. In an ideal scenario, we would assess our method using subjective metrics like the Mean Opinion Score (MOS). However, due to resource limitations, conducting such a study is currently beyond our scope. Additionally, our hardware and time constraints mean that our custom-trained Diffwave model cannot perfectly reconstruct tempo and melody. Presently, it produces sounds resembling piano music, but lacks organized musical notes. This limitation complicates our comparative studies, challenging our ability to produce meaningful, unbiased results. We cannot simply regenerate outputs until one method yields a satisfactory x_0 .

Therefore, all algorithms start the generation using the same random distribution. The result of the algorithm yield is solely based on the diffusion process rather than good base distribution (some quality is better because it started with a better random distribution). This approach helps to mitigate biases and provides a more equitable comparison framework.

Furthermore, our method's reliance on the temporal dependency inherent in Diffwave raises questions about its applicability beyond music synthesis or even outside of this specific architectural model. Although insusceptible to the human ears, our reconstruct x^{known} is not perfect. It is unsure that such differences would be more noticeable in other problem domains, therefore, The potential for Interpolation Guidance is uncertain. We leave this area open for future exploration and encourage readers to investigate these possibilities further. 372

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6. CONCLUSION

- 424 We presented a pseudo auto-regressive inferencing tech-373
- nique for the diffusion model. In particular, we proposed 374
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- tion of the time compared to that of Repaint [6] while still 427 376 yielding comparable if not superior results. Because we
- 377 utilize the special architecture of Diffwave, our methods 378
- produced a favorable result in our empirical studies. 379

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